

## PATENT COOPERATION TREATY

LAW

From the INTERNATIONAL SEARCHING AUTHORITY

PCT

NOTIFICATION OF TRANSMITTAL OF  
THE INTERNATIONAL SEARCH REPORT  
OR THE DECLARATION

(PCT Rule 44.1)

To:

CHOATE, HALL & STEWART  
Attn. Pasternack, Sam  
Exchange Place  
53 State Street  
Boston, Massachusetts 02109  
UNITED STATES OF AMERICA

Done By

- ☒
- Data Entry
- 
- ☒
- Docket Entry
- 
- ☒
- Docket Cross Off

3115 SW  
11/2Date of mailing  
(day/month/year)

15/05/2002

Applicant's or agent's file reference

- ☐
- Previously Entered
- 
- ☐
- No Docketing Req.
- 
- ☐
- ELITE
- 
- ☐
- Annuities

FOR FURTHER ACTION

See paragraphs 1 and 4 below

International application No.

PCT/US 01/ 13935

International filing date

(day/month/year)

30/04/2001

Applicant

GLOBAL INFORMATION RESEARCH AND TECHNOLOGIES LLC

- 1.
- ☒
- The applicant is hereby notified that the International Search Report has been established and is transmitted herewith.

**Filing of amendments and statement under Article 19:**

The applicant is entitled, if he so wishes, to amend the claims of the International Application (see Rule 46):

**When?** The time limit for filing such amendments is normally 2 months from the date of transmittal of the International Search Report; however, for more details, see the notes on the accompanying sheet.**Where?** Directly to the International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland  
Fascimile No.: (41-22) 740.14.35**For more detailed instructions,** see the notes on the accompanying sheet.

- 2.
- ☐
- The applicant is hereby notified that no International Search Report will be established and that the declaration under Article 17(2)(a) to that effect is transmitted herewith.

- 3.
- ☐
- With regard to the protest**
- against payment of (an) additional fee(s) under Rule 40.2, the applicant is notified that:

☐ the protest together with the decision thereon has been transmitted to the International Bureau together with the applicant's request to forward the texts of both the protest and the decision thereon to the designated Offices.☐ no decision has been made yet on the protest; the applicant will be notified as soon as a decision is made.

- 4.
- Further action(s):**
- The applicant is reminded of the following:

Shortly after **18 months** from the priority date, the international application will be published by the International Bureau. If the applicant wishes to avoid or postpone publication, a notice of withdrawal of the international application, or of the priority claim, must reach the International Bureau as provided in Rules 90bis.1 and 90bis.3, respectively, before the completion of the technical preparations for international publication.Within **19 months** from the priority date, a demand for international preliminary examination must be filed if the applicant wishes to postpone the entry into the national phase until 30 months from the priority date (in some Offices even later).Within **20 months** from the priority date, the applicant must perform the prescribed acts for entry into the national phase before all designated Offices which have not been elected in the demand or in a later election within 19 months from the priority date or could not be elected because they are not bound by Chapter II.

Name and mailing address of the International Searching Authority

European Patent Office, P.B. 5818 Patentlaan 2  
NL-2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Marja Brouwers

## Country Application

Thursday, May 23, 2002

<b>Matter Number:</b> 2001323-0010	<b>Country:</b> US	<b>SubCase:</b> 1
<b>Client:</b> Teragram	United States of America	
<b>Case Type:</b> ORD	<b>Application Status:</b> Pending	
<b>Matter:</b> 0018	<b>Attorney:</b> JH	
<b>Application Number:</b> 09/845,571	<b>Filing Date:</b> 30-Apr-2001	
<b>Confirmation Number:</b> 5344	<b>Tax Schedule:</b> SE	
<b>Patent Number:</b>	<b>Issue Date:</b>	
<b>Publication Number:</b>	<b>Publication Date:</b>	
<b>Priority Number:</b> 60/200,766	<b>Priority Date:</b> 28-Apr-2000	
	<b>Expiration Date:</b>	
	<b>Tax Start Date:</b>	
<b>Agent:</b>		
<b>Agent Reference Number:</b>		
<b>Priority Country:</b>		

### List Of Actions

Action(s) Due	Due Date		Action Taken
Postcard w/Serial Number rcvd?	14-Jun-2001	Due Date	05-May-1955
Information Disclosure Strmnt	30-Jul-2001	Due Date	05-May-1955
For. Filing Lic. Gtd	29-Sep-2001	Due Date	29-Sep-2001
Filing Receipt Rcvd	01-Oct-2001	Due Date	01-Oct-2001
Status on NTMP	05-Oct-2001	Due Date	01-Oct-2001
Convention Letter Due	30-Oct-2001	Due Date	05-May-1955
NTFMP due in 1 mo	01-Nov-2001	Reminder	01-Apr-2002
NTFMP- Resp. Due	01-Dec-2001	Reminder	01-Apr-2002
NTFMP-1st Extension	01-Jan-2002	Reminder	01-Apr-2002
Convention Reminder Ltr Due	30-Jan-2002	due Date	05-May-1955
IDS Due !	30-Jan-2002	Due Date	
NTFMP-2nd Extension	01-Feb-2002	Reminder	01-Apr-2002
NTFMP-3rd Extension	01-Mar-2002	Due Date	01-Apr-2002
Convention Deadline in 1month	30-Mar-2002	due Date	05-May-1955
NTFMP-STAT FINAL	01-Apr-2002	Final	01-Apr-2002
Convention Deadline STAT	30-Apr-2002	Final	05-May-1955
File Supp. IDS? Foreign SchRpt	02-Jul-2002	Due Date	

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## Country Application

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Thursday, May 23, 2002

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File Supp. IDS? Foreign SchRpt	07-Jul-2002	Due Date	
File Supp. IDS? Foreign SchRpt	15-Jul-2002	Due Date	
US-NTFMP Follow Up Date	01-Oct-2002	Due Date	08-Apr-2002
Application Status Check	30-Oct-2002	Due Date	

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**User ID:** jab

**Date Created:** 11-Jul-2001

**Last Update:** 23-May-2002

## Country Application

Thursday, May 23, 2002

<b>Matter Number:</b> 2001323-0010	<b>Country:</b> WO	<b>SubCase:</b>
<b>Client:</b> Teragram	Patent Cooperation Treaty	
<b>Case Type:</b> ORD	<b>Application Status:</b> Published	
<b>Matter:</b> 0017	<b>Attorney:</b> JH	
<b>Application Number:</b> US01/13935	<b>Filing Date:</b> 30-Apr-2001	
<b>Confirmation Number:</b>	<b>Tax Schedule:</b> SE	
<b>Patent Number:</b>	<b>Issue Date:</b>	
<b>Publication Number:</b> WO01/84376	<b>Publication Date:</b> 08-Nov-2001	
<b>Priority Number:</b> 60/200,766	<b>Priority Date:</b> 28-Apr-2000	
	<b>Expiration Date:</b>	
	<b>Tax Start Date:</b>	
<b>Agent:</b>		
<b>Agent Reference Number:</b>		
<b>Priority Country:</b>		

### List Of Actions

Action(s) Due	Due Date		Action Taken
Design. Fee due w/o Surcharge	30-May-2001	final	30-Apr-2001
Postcard w/serial number rcvd?	30-May-2001	due Date	08-May-2001
Invit. Correct Defects STAT	23-Jun-2001	final	22-Jun-2001
Status: IB 301 Received ?	28-Jun-2001	Due Date	06-Jun-2001
Status: Intern. Filing Receipt?	30-Jun-2001	due Date	23-May-2001
Confirmation of Desg. States	28-Jul-2001	due Date	28-Jul-2001
Status: Trans. Search Copy ISA?	28-Jul-2001	due Date	25-May-2001
File Article 19 Amendment?	28-Aug-2001	due Date	28-Aug-2001
Nat. Phase Ltr Due-16 months	28-Aug-2001	Due Date	28-Aug-2001
Priority Doc. Rcvd	06-Sep-2001	Due Date	06-Sep-2001
Nat. Phase Reminder-17 months	28-Sep-2001	Due Date	28-Sep-2001
Publication Date (expected)	28-Oct-2001	Due Date	28-Oct-2001
Reminder: Demand due in 1mo	28-Oct-2001	due Date	28-Oct-2001
Status: IB 308 (Not.Desg. Offi)	28-Oct-2001	due Date	08-Nov-2001
CH II Demand Due STAT-19mo	28-Nov-2001	final	28-Nov-2001
National Filing due STAT -20mo	28-Dec-2001	final	21-Nov-2001
Status: Not.Receipt Demand 402	28-Dec-2001	due Date	15-Feb-2002

## Country Application

Thursday, May 23, 2002

Notice of Election (332) Rcvd?	30-Dec-2001	due Date	05-Mar-2002
Status: IB 332 Rcvd?	28-Feb-2002	due Date	05-Mar-2002
Status: Written Opinion Rcvd?	28-Apr-2002	due Date	
PCT Search Report rcvd	15-May-2002	Due Date	15-May-2002
CH II Demand D Follow Up Date	28-May-2002	Due Date	
CH II Letter Due-28 Month	28-Aug-2002	due Date	
Intern.Examination Rpt Rcvd?	28-Aug-2002	Due Date	
CH II Reminder-29 Month	28-Sep-2002	due Date	
CH II Nat. Phase Deadline STAT	28-Oct-2002	final	
CH II Nat. Phse Deadline:EP,AU	28-Nov-2002	final	
Nat.Phse China w/late fee STAT	28-Dec-2002	final	
Reinstatement Deadline CA	28-Oct-2003	final	

**User ID:** ldk

**Date Created:** 08-May-2001

**Last Update:** 26-Nov-2001

## NOTES TO FORM PCT/ISA/220

These Notes are intended to give the basic instructions concerning the filing of amendments under article 19. The Notes are based on the requirements of the Patent Cooperation Treaty, the Regulations and the Administrative Instructions under that Treaty. In case of discrepancy between these Notes and those requirements, the latter are applicable. For more detailed information, see also the PCT Applicant's Guide, a publication of WIPO.

In these Notes, "Article", "Rule", and "Section" refer to the provisions of the PCT, the PCT Regulations and the PCT Administrative Instructions respectively.

### INSTRUCTIONS CONCERNING AMENDMENTS UNDER ARTICLE 19

The applicant has, after having received the international search report, one opportunity to amend the claims of the international application. It should however be emphasized that, since all parts of the international application (claims, description and drawings) may be amended during the international preliminary examination procedure, there is usually no need to file amendments of the claims under Article 19 except where, e.g. the applicant wants the latter to be published for the purposes of provisional protection or has another reason for amending the claims before international publication. Furthermore, it should be emphasized that provisional protection is available in some States only.

#### What parts of the international application may be amended?

Under Article 19, only the claims may be amended.

During the international phase, the claims may also be amended (or further amended) under Article 34 before the International Preliminary Examining Authority. The description and drawings may only be amended under Article 34 before the International Examining Authority.

Upon entry into the national phase, all parts of the international application may be amended under Article 28 or, where applicable, Article 41.

#### When?

Within 2 months from the date of transmittal of the international search report or 16 months from the priority date, whichever time limit expires later. It should be noted, however, that the amendments will be considered as having been received on time if they are received by the International Bureau after the expiration of the applicable time limit but before the completion of the technical preparations for international publication (Rule 46.1).

#### Where not to file the amendments?

The amendments may only be filed with the International Bureau and not with the receiving Office or the International Searching Authority (Rule 46.2).

Where a demand for international preliminary examination has been/is filed, see below.

#### How?

Either by cancelling one or more entire claims, by adding one or more new claims or by amending the text of one or more of the claims as filed.

A replacement sheet must be submitted for each sheet of the claims which, on account of an amendment or amendments, differs from the sheet originally filed.

All the claims appearing on a replacement sheet must be numbered in Arabic numerals. Where a claim is cancelled, no renumbering of the other claims is required. In all cases where claims are renumbered, they must be renumbered consecutively (Administrative Instructions, Section 205(b)).

The amendments must be made in the language in which the international application is to be published.

#### What documents must/may accompany the amendments?

##### Letter (Section 205(b)):

The amendments must be submitted with a letter.

The letter will not be published with the international application and the amended claims. It should not be confused with the "Statement under Article 19(1)" (see below, under "Statement under Article 19(1)").

The letter must be in English or French, at the choice of the applicant. However, if the language of the international application is English, the letter must be in English; if the language of the international application is French, the letter must be in French.

## NOTES TO FORM PCT/ISA/220 ( continued)

The letter must indicate the differences between the claims as filed and the claims as amended. It must, in particular, indicate, in connection with each claim appearing in the international application (it being understood that identical indications concerning several claims may be grouped), whether

- (i) the claim is unchanged;
- (ii) the claim is cancelled;
- (iii) the claim is new;
- (iv) the claim replaces one or more claims as filed;
- (v) the claim is the result of the division of a claim as filed.

**The following examples illustrate the manner in which amendments must be explained in the accompanying letter:**

1. [Where originally there were 48 claims and after amendment of some claims there are 51]:  
"Claims 1 to 29, 31, 32, 34, 35, 37 to 48 replaced by amended claims bearing the same numbers; claims 30, 33 and 36 unchanged; new claims 49 to 51 added."
2. [Where originally there were 15 claims and after amendment of all claims there are 11]:  
"Claims 1 to 15 replaced by amended claims 1 to 11."
3. [Where originally there were 14 claims and the amendments consist in cancelling some claims and in adding new claims]:  
"Claims 1 to 6 and 14 unchanged; claims 7 to 13 cancelled; new claims 15, 16 and 17 added." or  
"Claims 7 to 13 cancelled; new claims 15, 16 and 17 added; all other claims unchanged."
4. [Where various kinds of amendments are made]:  
"Claims 1-10 unchanged; claims 11 to 13, 18 and 19 cancelled; claims 14, 15 and 16 replaced by amended claim 14; claim 17 subdivided into amended claims 15, 16 and 17; new claims 20 and 21 added."

### **"Statement under article 19(1)" (Rule 46.4)**

The amendments may be accompanied by a statement explaining the amendments and indicating any impact that such amendments might have on the description and the drawings (which cannot be amended under Article 19(1)).

The statement will be published with the international application and the amended claims.

**It must be in the language in which the international application is to be published.**

It must be brief, not exceeding 500 words if in English or if translated into English.

It should not be confused with and does not replace the letter indicating the differences between the claims as filed and as amended. It must be filed on a separate sheet and must be identified as such by a heading, preferably by using the words "Statement under Article 19(1)."

It may not contain any disparaging comments on the international search report or the relevance of citations contained in that report. Reference to citations, relevant to a given claim, contained in the international search report may be made only in connection with an amendment of that claim.

### **Consequence if a demand for international preliminary examination has already been filed**

If, at the time of filing any amendments under Article 19, a demand for international preliminary examination has already been submitted, the applicant must preferably, at the same time of filing the amendments with the International Bureau, also file a copy of such amendments with the International Preliminary Examining Authority (see Rule 62.2(a), first sentence).

### **Consequence with regard to translation of the international application for entry into the national phase**

The applicant's attention is drawn to the fact that, where upon entry into the national phase, a translation of the claims as amended under Article 19 may have to be furnished to the designated/elected Offices, instead of, or in addition to, the translation of the claims as filed.

For further details on the requirements of each designated/elected Office, see Volume II of the PCT Applicant's Guide.

# PATENT COOPERATION TREATY

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference	<b>FOR FURTHER ACTION</b>		see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.
International application No.  PCT/US 01/ 13935	International filing date ( <i>day/month/year</i> )  30/04/2001	(Earliest) Priority Date ( <i>day/month/year</i> )  28/04/2000	
Applicant  GLOBAL INFORMATION RESEARCH AND TECHNOLOGIES LLC			

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

**1. Basis of the report**

a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established by this Authority to read as follows:

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☒ because the applicant failed to suggest a figure.

☐ because this figure better characterizes the invention.

2

☐ None of the figures.



## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/13935

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G06F17/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, INSPEC

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X  A	<p>WO 98 25217 A (QUARTERDECK CORP) 11 June 1998 (1998-06-11)</p> <p>page 6, line 27 -page 11, line 11</p> <p>page 14, line 5 -page 15, line 20 page 25, line 29 -page 31, line 26 page 34, line 10 -page 35, line 28; claims 25-33</p> <p style="text-align: center;">--- -/--</p>	<p>1-7, 11-17, 25-31 8-10, 19-24</p>



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

## \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*Z\* document member of the same patent family

Date of the actual completion of the international search

7 May 2002

Date of mailing of the international search report

15/05/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Fournier, C

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 01/13935

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	LAWRENCE S ET AL: "Inquirus, the NECI meta search engine" COMPUTER NETWORKS AND ISDN SYSTEMS, NORTH HOLLAND PUBLISHING. AMSTERDAM, NL, vol. 30, no. 1-7, 1 April 1998 (1998-04-01), pages 95-105, XP004121436 ISSN: 0169-7552	1,3,4,6, 7,11,14, 15, 25-28, 30,31
A	abstract  page 97, right-hand column, line 23 - last line page 101 page 102, left-hand column, line 13 -page 103, left-hand column, line 7; figure 5 ---	8-10,12, 13,29
A	US 5 895 466 A (GOLDBERG RANDY G ET AL) 20 April 1999 (1999-04-20) the whole document ---	1,6,7, 29-31
A	EP 0 631 244 A (XEROX CORP) 28 December 1994 (1994-12-28) page 2, line 1 -page 4, line 27 page 27, line 5 -page 28, line 16 page 7, line 36 -page 9, line 35 -----	1,6,7, 29-31

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/13935

Patent document cited in search report		Publication date		Patent family member(s)		Publication date
WO 9825217	A	11-06-1998	AU	5381698 A		29-06-1998
			EP	1016003 A1		05-07-2000
			WO	9825217 A1		11-06-1998
<hr/>						
US 5895466	A	20-04-1999	CA	2244826 A1		19-02-1999
<hr/>						
EP 0631244	A	28-12-1994	US	5519608 A		21-05-1996
			EP	0631244 A2		28-12-1994
			JP	7056954 A		03-03-1995
<hr/>						